



## Notice of Incoming Security Transfer (via DTC or DRS method)

\_\_\_\_\_  
*Input Receiving Account Title at York Securities/Axos Clearing*

\_\_\_\_\_  
*Input Receiving Account # at York Securities/Axos Clearing*

Dear York Securities / Axos Clearing,

I shall be initiating the transfer of the below security from another financial institution, to York Securities/Axos Clearing:

\_\_\_\_\_  
*Input Security Name:*

\_\_\_\_\_  
*Input Symbol:*

\_\_\_\_\_  
*Input Quantity:*

This security is being delivered from:

\_\_\_\_\_  
*Input Delivering Financial Institution Name:*

\_\_\_\_\_  
*Input Delivering Financial Institution DTC number (if known/applicable):*

\_\_\_\_\_  
*Input Account Title at Delivering Financial Institution*

Signature(s) and Date:

\_\_\_\_\_  
*Signature of Primary Account Owner*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Signature of Joint Account Owner (if applicable)*

\_\_\_\_\_  
*Date*

**Please also include a recent statement or trade confirmation from delivering firm, showing the account #, account title, and security being delivered.**

This completed form, along with the statement/confirm, may be faxed to 212-202-4655, or scanned & e-mailed to [info@yorksec.com](mailto:info@yorksec.com), or mailed to:

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