York Securities, Inc.
(UHLD)

Statement Householding

A More Efficient Reporting Process

If you have more than one brokerage account, statement Householding may be ideal for you.

Many clients maintain multiple relationships with the same brokerage firm. These may include individual and joint accounts, custodial accounts on behalf of children (UGMA/UTMA), IRAs, Trusts and other types of related accounts.

If you have more than one account with York Securities, you currently receive each of the account statements from Penson Financial Services in a separate envelope. By “Householding” the accounts, you will be helping to make the statement mailing and reporting process more efficient.

Benefits to Clients

Clients can elect to "Household" their statements and enjoy these conveniences:

All (or some) of their related brokerage accounts may be linked to a main or “Master” account so that the statements are mailed in the same envelope/package.

A “Householding” cover page displaying separate and combined assets in all the linked accounts is enclosed with monthly (or quarterly) statements for more concise record keeping.

Duplicate statement inserts or announcements that result in wasted paper are eliminated.

Enrolling is Easy

Clients can participate in statement "Householding" by following these simple steps:

1. Obtain an “Authorization to Household Brokerage Accounts” form US353 (see next page).
2. Determine which of their related accounts will be designated the “Master” account. All of the accounts in their "household" will be linked to this account for statement mailing purposes.
3. Make certain that each separate account owner signs the “Authorization to Household Brokerage Accounts” form where indicated and prints their full account number(s).
4. Mail the original document to:
   York Securities
   160 Broadway, FL 7R
   New York NY 10038

(You should also retain a copy of the Householding form for your records.)

PRINCIPAL PARTY

Authorization to "Household" Brokerage Accounts

Master Account Number	Master Account Title	Signature of Master Account Owner	Date
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I hereby authorize my broker/dealer to link my brokerage account(s) to the Master account listed above. I understand that I will continue to receive trade confirmations and other mailings, which will be sent to the address listed on each of my separate account(s) and that linking these accounts to the Master account will not change the beneficial ownership of these accounts.

Select one:	Enter the 10-digit brokerage account number of the account that you want to be linked to the Master Account	Account Title(s)	Signature of Account Owner(s)	Date
☐ **Add** ☐ **Delete** **(to unlink)**	☐☐☐-☐☐☐☐☐-☐☐			
☐ **Add** ☐ **Delete** **(to unlink)**	☐☐☐-☐☐☐☐☐-☐☐			
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FOR INTERNAL USE ONLY

Branch Number	Branch Manager Signature	Date