



Request for Disposition of a Non Transferable Security

1. Account Information

Account Title: _____

Account Number: _____

2. Security Information

I am currently the owner of the following security, which is on deposit with Penson Financial Services, Inc.:

Quantity: _____
Description: _____
Symbol/Cusip Number: _____

Because there is currently no Transfer Agent or Company contact, the shares cannot be registered in my name and therefore I would like to relinquish my shares. Please remove the shares from my account by closing out the position via journal entry as Non Transferable. If the corporation re-opens its transfer books and DTCC reinstates the position, the shares will be re-instated in my account.

In consideration for your complying with the above request, we agree, for ourselves, our successors, assignors, heirs, executors, and administrators, to at all times to indemnify and hold harmless Penson Financial Services, Inc. against any and all claims, liabilities, damages, charges and expenses sustained or incurred by reason of your actions.

Authorization and Signature

Account Owner Signature: _____ Date: _____
Joint Owners Signature: _____ Date: _____
Address: _____
City and State: _____